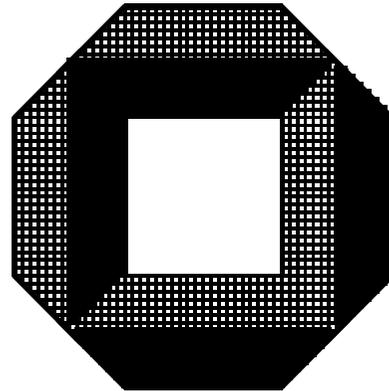


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The Capacity of  
Matched RS Codes  
is Zero Over the AWGN Channel



## Notations:

block code:	$\mathcal{C} = \{\vec{c}_1, \dots, \vec{c}_M\} \subset \mathcal{A}^n$
codewords of length $n$ :	$\vec{c}_m = (c_{m,1}, \dots, c_{m,n})$
alphabet:	$\mathcal{A}, \quad (c_{m,i} \in \mathcal{A}), \quad  \mathcal{A}  = q$
code rate:	$R = \frac{\text{ld } M}{n} \left[ \frac{\text{bit}}{\text{channel use}} \right]$
cardinality:	$ \mathcal{C}  = M = 2^{Rn}$

# Linear block codes over $GF(q)$

Advantages:

- encoding with linear complexity (in  $n$ )
- capacity  $R_c$  and error exponent  $E(R)$  are equal to the capacity and error exponent, resp., of the corresponding coding channel

Disadvantage:

- optimal decoding NP-complete

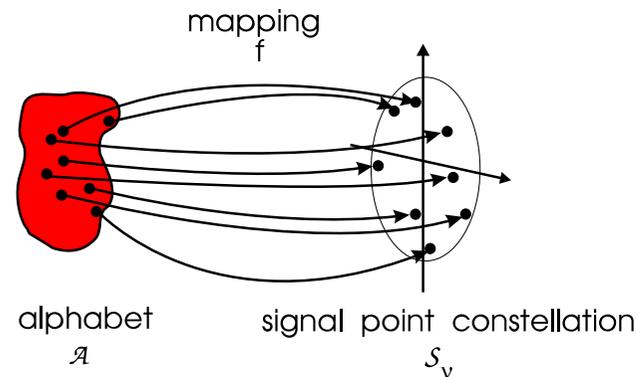
## Linear block codes over $GF(q)$

Specific properties:

- Hamming distance invariant
- Hamming weight characterizable

But: Hamming distance is not detailed enough

# Signal point constellation

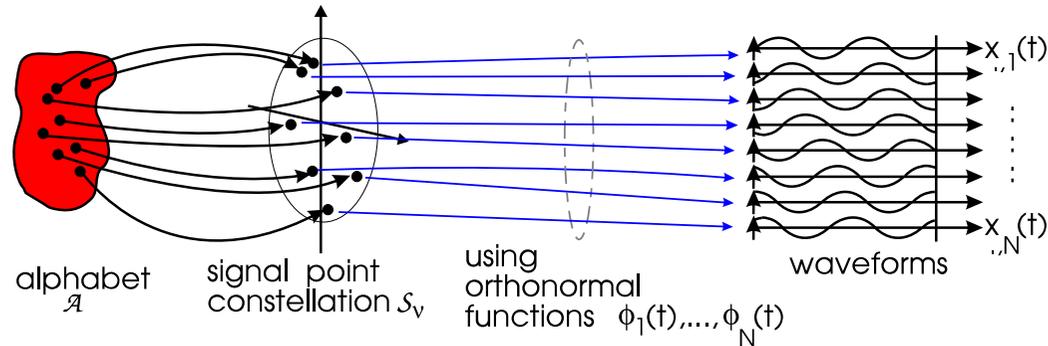


signal point constellation:  $\mathcal{S}_v = \{\vec{s}_1, \dots, \vec{s}_q\} \subset \mathbb{R}^v$   
 mapping into signal space:  $f : \mathcal{A} \rightarrow \mathcal{S}_v$   
 expected signal energy:  $E(\mathcal{S}_v) = \sum_{l=1}^q P[\vec{s}_l] E(\vec{s}_l)$   
 $E(\vec{s}_l) = |\vec{s}_l|^2$

Euclidean distance distribution:

$$\mathcal{D}(\mathcal{S}_v) = \{d_E(\vec{s}_l, \vec{s}_j) \mid l < j; l, j = 1, \dots, q\}$$

# Waveform channel



orthonormal functions:  $\Phi = \{\phi_i(t)\}_{i=1}^N$

coded waveforms:

$$x_m(t) = \sum_{i=1}^N x_{m,i} \cdot \phi_i(t); \quad m = 1, \dots, M$$

mean-square difference between two waveforms:

$$\delta_{m,j}^2 = \int_0^T [x_m(t) - x_j(t)]^2 dt = d_E^2(\vec{x}_m, \vec{x}_j)$$

For waveform channels a relevant distance measure is

**Euclidean distance**

## Euclidean distance

The Euclidean distance precisely expresses the quantity of the difference

- between signal points,
- between Euclidean representations  $\mathcal{E}$  of codewords from  $\mathcal{C}$  and
- between corresponding coded waveforms.

But: distance invariance and weight characterization are no longer valid.

## Matched mappings

Search for mappings  $f : \mathcal{A} \rightarrow \mathcal{S}_\nu$  preserving the distance invariance and weight characterization for induced Euclidean distances.

Condition for  $f$  in case  $\mathcal{A}$  is provided with a group structure  $(G, *)$  [Lölicher]:  $\forall g, g' \in G$

$$d_E(f(g), f(g')) = d_E(f(g^{-1} * g'), f(e))$$

The corresponding vector constellation  $\mathcal{S}_\nu^*$  is Euclidean distance invariant and Euclidean weight representable.

## RS codes and matched mappings

Some RS codes are bad on the AWGN channel for matched mappings defined on the modulo  $q$  addition of the elements of  $GF(q)$ .

## Proof (8 Parts):

1. Any vector constellation  $\mathcal{S}_\nu^*$  matched to a group is a group code for the Gaussian channel (see Löliger 1992).
2. Group codes for the Gaussian channel are Euclidean distance invariant spherical codes (see Slepian 1968).
3. For RS codes  $q = n + 1$  holds. Thus, for  $n \rightarrow \infty$ , constant  $\nu \in \mathbb{N}$  and constant average energy, the minimum Euclidean distance in  $\mathcal{S}_\nu^*$  tends to zero.

4. Since Euclidean representations  $\mathcal{E}^*$  of block codes  $\mathcal{C}$  are Euclidean distance invariant [Lölinger 91],  $\mathcal{E}_{\text{RS}}^*$  are also Euclidean distance invariant.
5. SNR on the AWGN channel is constant for  $\mathcal{E}^*$ , when  $n \rightarrow \infty$  and  $\nu$  and  $E(\mathcal{S}_\nu^*)$  remain constant, because

$$\text{SNR} = \frac{nE}{n\nu\sigma^2} = \frac{E}{\nu\sigma^2} = \text{const}$$

6. RS codes with generator polynomials not divisible by  $(x - 1)$  contain all codewords of the form  $\vec{x}^{(l)} = (\psi_l, \psi_l, \dots, \psi_l)$ ,  $\psi_l \in \text{GF}(q)$ ,  $l = 1, \dots, q$ .

7. Let  $f(\psi_l)$  and  $f(\psi_j)$  be of minimum Euclidean distance  $\epsilon$ . There exist two codewords of the form  $\vec{x}_\epsilon^{(l)} = (f(\psi_l), f(\psi_l), \dots, f(\psi_l))$  and  $\vec{x}_\epsilon^{(j)} = (f(\psi_j), f(\psi_j), \dots, f(\psi_j))$  such that the normalized Euclidean distance  $\underline{d}_E^0 = \epsilon$  tends to zero for  $n \rightarrow \infty$  (see part 3).
8. For constant SNR the error exponent of constant rate distance invariant block code families is zero (see [Lazic, Senk 92]), and thus also the capacity, when the normalized minimum distance tends to zero as  $n \rightarrow \infty$ .

## Conclusion

The items 1. to 8. imply that the family of matched Euclidean representations of Reed-Solomon codes  $\mathcal{E}_{\text{RS}}^*$ , whose generator polynomials do not contain the factor  $(x - 1)$ , have a *family error exponent*, and thus a *family capacity* for the AWGN channel, equal to zero.